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IN THE U.S. PATENT & TRADEMARK OFFICE

Applicant: Hamid Bacha : GROUP ART UNIT 9886  
Serial No. 09/459,240 : Examiner: Jung W. Kim  
Filed: December 10, 1999 : January 29, 2004  
Title: System for Electronic : James B. Murray  
Repository of Data Enforcing : 69 South Gate Drive  
Access Control on Data Search : Poughkeepsie, N.Y. 12601  
and Retrieval :

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
UNDER (37 C.F.R. 1.97(c))

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

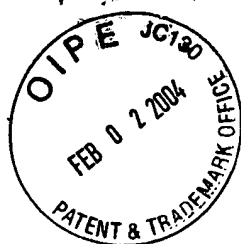
The attached Information Disclosure Statement is being made under 37 CFR \$1.97(c) on information and belief based on a letter from IBM Japan dated December 16, 2003 indicating the patents were contained in a Japanese office action dated December 3, 2003. Therefore, this submission is made within three months of a communication from a foreign Patent Office.

- ☒ No Fee is required.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required under 37 CFR \$1.16 and 1.17, or credit any overpayment to Deposit Account No. 50-0510. A duplicate copy of this sheet is enclosed.

RESPECTFULLY SUBMITTED,

*James E. Murray*  
James E. Murray - Attorney  
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Fax No: (845) 432-9601

2132



Docket No. CA998040

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hamid Bacha  
Serial No.: 09/459,240  
Filed: December 10, 1999  
For: System for Electronic Repository of Data Enforcing  
Access Control on Data Search & Retrieval  
Art Group No.: 9886  
Examiner: Jung W. Kim

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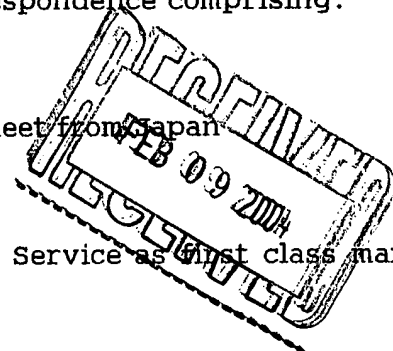
Commissioner for Patents  
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CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

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Returnable Post Card  
Transmittal Letter (in duplicate)  
4 Patent Abstracts of Japan w/IDS coversheet from Japan  
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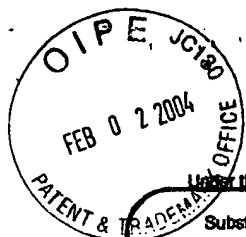
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Elizabeth A. Sarno

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PTO/SB/08a (06-03)

Approved for use through 07/31/2003. OMB 0651-0041

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

of

**Complete if Known**

Application Number	09/459,240
Filing Date	12/10/1999
First Named Inventor	Hamid Bacha
Art Unit	9886
Examiner Name	Jung W. Kim
Attorney Docket Number	CA9-1998-0040

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-			
		US-			
		US-			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	7 <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		PUPA 08-263441	10/11/96	Xerox		
		PUPA 10-124398	5/15/98	NCR Intern. Inc.		
		PUPA 04-280317	10/6/92	Matsushita		
		PUPA 07-234843	9/5/95	Fuji Xerox		

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1480, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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\*\*\* Information Materials for IDS \*\*\*

LPH

To FOR IP Law Docket Number CA9-1998-0040 Date Dec/16/'03

Prepared by Shinya MAYAMA Date of JPO Office Action Dec/3/'03

**Applied Art** (The following reference(s) were cited by JPO Examiner as Prior art to the following JP claims)

Ref.	Patent Document No. or Title	Publication Date (MM/DD/YY)	English abs. or counterpart document available (Y/N)	JP claim(s)
A	PUPA 08 - 263441	10/11/96	Y	1 ~ 12
B	PUPA 10 - 124398	5/15/98	Y	1 ~ 12
C	PUPA 04 - 280317	10/6/92	Y	1 ~ 12
D	PUPA 07 - 234843	9/5/95	Y	1 ~ 12
E				
F				

<NOTE>

- ◇ PUPA : Published Unexamined Patent Application  
 ◇ PUUMA : Published Unexamined Utility Model Application  
 ◇ JP : Japanese Patent
- ◇ PEPA : Published Examined Patent Application  
 ◇ PEUMA : Published Examined Utility Model Application  
 ◇ \* : Reference being filed before and published after the priority application date of the subject docket

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**Background Art** (The following reference(s) were cited but not applied to the JP claims. Technology Center 2100)

Ref.	Patent Document No. or Title	Ref.	Patent Document No. or Title
bgA		bgD	
bgB		bgE	
bgC		bgF	

Comment, if any :

to be continued ☐

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